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# DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)

☒ Declaration  
Submitted With Initial  
Filing

OR

☐ Declaration  
Submitted after Initial  
Filing (surcharge  
(37 CFR 1.16 (e))  
required)

Attorney Docket Number AG03-071C

First Named Inventor Federspiel

COMPLETE IF KNOWN

Application Number /

Filing Date

Art Unit

Examiner Name

## I hereby declare that:

Each inventor's residence, mailing address, and citizenship are as stated below next to their name.

I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Generation of Plants with Improved Pathogen Resistance and Drought Tolerance

the specification of which (Title of the Invention)

☒ is attached hereto

OR

☐ was filed on (MM/DD/YYYY) as United States Application Number or PCT International

Application Number and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
PCT/US03/12981	WO	04/24/2003	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

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## DECLARATION — Utility or Design Patent Application

Direct all correspondence to:		<input checked="" type="checkbox"/> Customer Number	23500	OR	<input type="checkbox"/> Correspondence address below
Name					
Address					
City		State		ZIP	
Country		Telephone		Fax	
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.					
NAME OF SOLE OR FIRST INVENTOR:				<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])			Family Name or Surname		
Nancy			Federspiel		
Inventor's Signature				Date	
Residence: City		State	Country	Citizenship	
Menlo Park		CA	US	US	
Mailing Address					
1345 Altschul Avenue					
City		State	Zip	Country	
Menlo Park		CA	94025	US	
NAME OF SECOND INVENTOR:				<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])			Family Name or Surname		
Allan			Lammers		
Inventor's Signature				Date	
Residence: City		State	Country	Citizenship	
Portland		OR	US	CA	
Mailing Address					
1709 S.W. Canby Street					
City		State	Zip	Country	
Portland		OR	97219	US	
<input checked="" type="checkbox"/> Additional inventors or a legal representative are being named on the _____ supplemental sheet(s) PTO/SB/02A or 02LR attached hereto.					

**DECLARATION****ADDITIONAL INVENTOR(S)  
Supplemental Sheet**

Page 1 of 4

<b>Name of Additional Inventor, if any</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Xing Liang		Liu	
<b>Inventor's Signature</b>		<b>Date</b>	
<b>Residence: City</b> Tualatin	<b>State</b> OR	<b>Country</b> US	<b>Citizenship</b> CN
<b>Mailing Address</b> 8745 S.W. Stono Drive			
<b>Mailing Address</b>			
<b>City</b> Tualatin	<b>State</b> OR	<b>ZIP</b> 97032	<b>Country</b> US
<b>Name of Additional Inventor, if any</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Stanley R.		Bates	
<b>Inventor's Signature</b>		<b>Date</b>	
<b>Residence: City</b> Aumsville	<b>State</b> OR	<b>Country</b> US	<b>Citizenship</b> US
<b>Mailing Address</b> 10567 Stayton Road SE			
<b>Mailing Address</b>			
<b>City</b> Aumsville	<b>State</b> OR	<b>Zip</b> 97325	<b>Country</b> US
<b>Name of Additional Inventor, if any</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Christina		Westerlund	
<b>Inventor's Signature</b>		<b>Date</b>	
<b>Residence: City</b> Portland	<b>State</b> OR	<b>Country</b> US	<b>Citizenship</b> US
<b>Mailing Address</b> 7224 SE 17th Avenue			
<b>Mailing Address</b>			
<b>City</b> Portland	<b>State</b> OR	<b>Zip</b> 97202	<b>Country</b> US

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If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

<b>DECLARATION</b>	<b>ADDITIONAL INVENTOR(S)</b> Supplemental Sheet
Page 2 of 4	

<b>Name of Additional Inventor, if any</b>				<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])			Family Name or Surname		
Jonathan R.			Fitch		
Inventor's Signature				Date	
Residence: City	Portland	State	OR	Country	US
Mailing Address 2314 N.E. 45 <sup>th</sup> Avenue					
Mailing Address					
City	Portland	State	OR	ZIP	97213
				Country	US
<b>Name of Additional Inventor, if any</b>				<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])			Family Name or Surname		
Catherine			Anderson		
Inventor's Signature				Date	
Residence: City		State		Country	
Mailing Address					
Mailing Address					
City		State		Zip	
				Country	
<b>Name of Additional Inventor, if any</b>				<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])			Family Name or Surname		
Stephen Aaron			Lee		
Inventor's Signature				Date	
Residence: City	Durham	State	NC	Country	US
Mailing Address 1405 N. Gregson Street					
Mailing Address					
City	Durham	State	NC	Zip	27701
				Country	US

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<b>Name of Additional Inventor, if any</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Kerrie		Powell	
Inventor's Signature		Date	
Residence: City	State	Country	Citizenship
Mailing Address			
Mailing Address			
City	State	ZIP	Country
<b>Name of Additional Inventor, if any</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Pilar		Puente	
Inventor's Signature		Date	
Residence: City	State	Country	Citizenship
Durham	NC	US	ES
Mailing Address			
6 Briarwick Court			
Mailing Address			
City	State	Zip	Country
Durham	NC	27713	US
<b>Name of Additional Inventor, if any</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Jennifer Lee		Rhein	
Inventor's Signature		Date	
Residence: City	State	Country	Citizenship
Raleigh	NC	US	US
Mailing Address			
P.O. Box 5232			
Mailing Address			
City	State	Zip	Country
Raleigh	NC	27650	US

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Supplemental Sheet**

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<b>Name of Additional Inventor, if any</b>				<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))			Family Name or Surname		
Philip Reid			Timmons		
Inventor's Signature				Date	
Residence: City	Durham	State	NC	Country	US
Citizenship US					
Mailing Address 4423 Dula Street					
Mailing Address					
City	Durham	State	NC	ZIP	27705
				Country	US
<b>Name of Additional Inventor, if any</b>				<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))			Family Name or Surname		
Vincent Paul Mary			Wingate		
Inventor's Signature				Date	
Residence: City	Chapel Hill	State	NC	Country	US
Citizenship US					
Mailing Address 613 Churchill Drive					
Mailing Address					
City	Chapel Hill	State	NC	Zip	27514
				Country	US
<b>Name of Additional Inventor, if any</b>				<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))			Family Name or Surname		
Inventor's Signature				Date	
Residence: City		State		Country	
Citizenship					
Mailing Address					
Mailing Address					
City		State		Zip	
				Country	

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